



# India Finsec Limited

(L65923DL1994PLC060827)

Date: 29.06.2021

To,  
The Manager, Listing Department,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai- 400001.

**Scrip Code: 535667 Scrip Id: IFINSEC**

Dear Sir/Madam,

**Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2021**

In compliance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015; read along with SEBI Circular CIR/CFD/ CMD1 /27/2079 dated, February 08, 2019, we are submitting herewith Annual Secretarial Compliance Report dated 29<sup>th</sup> June, 2021 of the Company issued by Practicing Company Secretary for the Financial Year 2020-2021.

You are requested to take the above on your records..

Thanking you,

Yours faithfully,

For India Finsec Limited

  
Vijay Kumar Dwivedi  
CS & Compliance Officer  
Place: New Delhi

Encl: As above.



**SECRETARIAL COMPLIANCE REPORT  
OF  
INDIA FINSEC LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 in compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

**To,**  
**The Board of Directors,**  
**INDIA FINSEC LIMITED**  
**Regd. Office: D-16, 1st Floor, Above ICICI Bank,**  
**Prashant Vihar, Sector-14, Rohini, New Delhi-110085.**

We, VMS & Co., Whole Time Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **INDIA FINSEC LIMITED** (CIN: L65923DL1994PLC060827) ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) all other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of:
  - (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
  - (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations whose provisions and the circulars/guidelines issued thereunder have been examined include:

- (i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (**Not applicable for the period under review**);



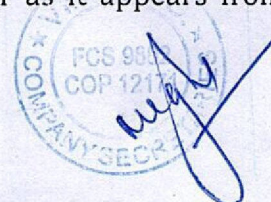
- (iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (iv) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable for the period under review);**
- (v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable for the period under review);**
- (vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not applicable as the Company has not issued and listed debt securities during the review period);**
- (vii) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not applicable as the Company has not issued and listed any Non-Convertible and Redeemable Preference Shares during the review period);**
- (viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and the circulars and guidelines issued thereunder;
- (ix) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (x) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 and circulars/ guidelines issued thereunder;

And based on the above examination we hereby report that during the review period

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.



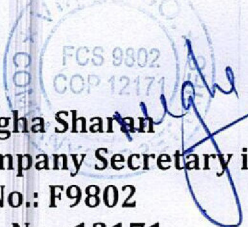
(c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

For VMS & Co.  
Company Secretaries

  
Megha Sharan  
Company Secretary in Practice  
M No.: F9802  
C.P. No.: 12171

UDIN: L65923DL1994PLC060827

Date: 29.06.2021  
Place: Delhi